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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 36287-04404	SERIAL NO. 10/723,264
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT Gray et al.		
		FILING DATE November 26, 2003	GROUP ART UNIT 3694	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,269,346	7-2001	Cristofich et al			
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		Applications of random walkers to Stock Pricing, www.math.mit.edu/stocker/18354.d/financial.pdf ; Spring 2004
		Transferable Stock Options: Compensation for the 21st Century, David A. Seaman, Workspan, May 2004, 47(5) pages 64-71
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EXAMINER	/Scott Trotter/	DATE CONSIDERED	01/21/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /ST/
LAI:#6388444

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		Statement 133 Implementation Issues, No. A17, FASB, May 1, 2003
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		Menachem Brenner, Rangarajan K. Sundaram and David Yermack, Alterning the terms of executive stock options, 2000, Journal of Financial and Economics, 57, pages 103-128

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